The Stamp Office
10 – 14 Waterloo Place
Edinburgh, EH1 3EG
Tel 0131 528 5111

Fax 0131 528 5110

www.scottishlegalcomplaints.org.uk Legal Post, 86, Edinburgh 2



Richard Keen QC Dean of The Faculty of Advocates Parliament House EDINBURGH EH1 1RF

30 January 2012

Dear Mr Keen

SLCC Draft Budget 2012/13

As you are aware, under Section 29 of the Legal Profession and Legal Aid (Scotland) Act 2007 the SLCC must, in January each year, consult each relevant professional organisation and its members on the SLCC's proposed budget for the next financial year. That consultation must include proposed expenditure and proposed amounts of the general and complaints levies.

Please find enclosed the SLCC's proposed budget and operational plan covering the financial year 1 July 2012 to 30 June 2013. This letter and these documents will be published on the SLCC's website on 31 January 2012.

The main points I draw to your attention are:

- Anticipated expenditure is: £2,813,381 (previous year £2,830,319)
- Anticipated income is: £2,818,567 of which £2,792,779 will be raised through the general levy
- Anticipated funds released from reserves are: £zero (previous year £1,000,000)
- General levy: £338 full levy with reductions for certain groups as in previous years (£338/£169/£113)
- Complaints levy: zero for service complaints resolved by mediation and at the investigation stage and *up to* a capped level of £5,000 for complaints upheld in full or part at determination.

The levels of expenditure and income are broadly comparable to last year. The general levy is higher because it is not being underwritten by release of reserves. However, it is in line with the baseline levy forecast last year. The most significant change is the approach to the complaints levy, more information on which is in the enclosed document.

Your comments and observations on the budget are welcomed. It would be very helpful if you could let me have your formal response by Thursday 8 March 2012 at the latest. This will enable the SLCC to consider and publish all the responses it receives by 31 March 2011, prior to laying the finalised budget before the Scottish Parliament by 30 April.

As in previous years, if you have any questions about the budget and the levy proposals, please send them to me. If you would prefer to meet to discuss the budget, let me know and we will arrange a suitable time and date.



If you have any specific questions for the Board of the SLCC, please send them to me and I will ensure they are put before Members. The Board is due to meet on 21 February 2012. If you have questions specifically for them it would be helpful if they could be with my by 9 February which is the deadline for papers for that meeting.

Please do not hesitate to pick up the phone if there is something I may be able to help you with immediately.

I look forward to hearing from you.

Yours sincerely

Rosemary Agnew

CEO & Accountable Officer

Scottish Legal Complaints Commission

Enc. Draft Budget and Operational Plan

Cc Iain Armstrong QC, Carole Ferguson-Walker

The Stamp Office
10 – 14 Waterloo Place
Edinburgh, EH1 3EG
Tel 0131 528 5111



Fax 0131 528 5110 www.scottishlegalcomplaints.org.uk Legal Post, 86, Edinburgh 2

Kenny MacAskill MSP
Cabinet Secretary for Justice
Scottish Government
St Andrews House
Regent Road
Edinburgh
EH1 3DG

30 January 2012

Dear Mr MacAskill

SLCC Draft Budget 2012/13

As you are aware, under Section 29 of the Legal Profession and Legal Aid (Scotland) Act 2007 the SLCC must, in January each year, consult each relevant professional organisation and its members on the SLCC's proposed budget for the next financial year. That consultation must include proposed expenditure and proposed amounts of the general and complaints levies.

Please find enclosed the SLCC's proposed budget and operational plan covering the financial year 1 July 2012 to 30 June 2013. This letter and these documents will be published on the SLCC's website on 31 January 2012.

The main points I draw to your attention are:

- Anticipated expenditure is: £2,813,381 (previous year £2,830,319)
- Anticipated income is: £2,818,567 of which £2,792,779 will be raised through the general levy
- Anticipated funds released from reserves are: £zero (previous year £1,000,000)
- General levy: £338 full levy with reductions for certain groups as in previous years (£338/£169/£113)
- Complaints levy: zero for service complaints resolved by mediation and at the investigation stage and up to a capped level of £5,000 for complaints upheld in full or part at determination.

The levels of expenditure and income are broadly comparable to last year. The general levy is higher because it is not being underwritten by release of reserves. However, it is in line with the baseline levy forecast last year. The most significant change is the approach to the complaints levy, more information on which is in the enclosed document.

Your comments and observations on the budget are welcomed. It would be very helpful if you could let me have your formal response by Thursday 8 March 2012 at the latest. This will enable the SLCC to consider and publish all the responses it receives by 31 March 2011, prior to laying the finalised budget before the Scottish Parliament by 30 April.



As in previous years, if you have any questions about the budget and the levy proposals, please send them to me. If you would prefer to meet to discuss the budget, let me know and we will arrange a suitable time and date.

If you have any specific questions for the Board of the SLCC, please send them to me and I will ensure they are put before Members. The Board is due to meet on 21 February 2012. If you have questions specifically for them it would be helpful if they could be with my by 9 February which is the deadline for papers for that meeting.

Please do not hesitate to pick up the phone if there is something I may be able to help you with immediately.

I look forward to hearing from you.

Yours sincerely

Rosemary Agnew

CEO & Accountable Officer

Kosemany Az

Scottish Legal Complaints Commission

Enc. Draft Budget and Operational Plan

Cc. Colin McKay, Jill Clark

www.scottishlegalcomplaints.org.uk

The Stamp Office 10 – 14 Waterloo Place Edinburgh, EH1 3EG Tel 0131 528 5111 Fax 0131 528 5110

Legal Post, 86, Edinburgh 2



William Alexander
The Association of Commercial Attorneys
Huntershill Business Centre
25 Auchinairn Road
Glasgow
G64 1RX

30 January 2012

Dear Mr Alexander

SLCC Draft Budget 2012/13

As you are aware, under Section 29 of the Legal Profession and Legal Aid (Scotland) Act 2007 the SLCC must, in January each year, consult each relevant professional organisation and its members on the SLCC's proposed budget for the next financial year. That consultation must include proposed expenditure and proposed amounts of the general and complaints levies.

Please find enclosed the SLCC's proposed budget and operational plan covering the financial year 1 July 2012 to 30 June 2013. This letter and these documents will be published on the SLCC's website on 31 January 2012.

The main points I draw to your attention are:

- Anticipated expenditure is: £2,813,381 (previous year £2,830,319)
- Anticipated income is: £2,818,567 of which £2,792,779 will be raised through the general levy
- Anticipated funds released from reserves are: £zero (previous year £1,000,000)
- General levy: £338 full levy with reductions for certain groups as in previous years (£338/£169/£113)
- Complaints levy: zero for service complaints resolved by mediation and at the investigation stage and *up to* a capped level of £5,000 for complaints upheld in full or part at determination.

The levels of expenditure and income are broadly comparable to last year. The general levy is higher because it is not being underwritten by release of reserves. However, it is in line with the baseline levy forecast last year. The most significant change is the approach to the complaints levy, more information on which is in the enclosed document.

Your comments and observations on the budget are welcomed. It would be very helpful if you could let me have your formal response by Thursday 8 March 2012 at the latest. This will enable the SLCC to consider and publish all the responses it receives by 31 March 2011, prior to laying the finalised budget before the Scottish Parliament by 30 April.

As in previous years, if you have any questions about the budget and the levy proposals, please send them to me. If you would prefer to meet to discuss the budget, let me know and we will arrange a suitable time and date.

If you have any specific questions for the Board of the SLCC, please send them to me and I will ensure they are put before Members. The Board is due to meet on 21 February 2012. If you have questions specifically for them it would be helpful if they could be with my by 9 February which is the deadline for papers for that meeting.



Please do not hesitate to pick up the phone if there is something I may be able to help you with immediately.

I look forward to hearing from you.

Yours sincerely

Rosemary Agnew

CEO & Accountable Officer

Scottish Legal Complaints Commission

Enc. Draft Budget and Operational Plan

The Stamp Office 10 – 14 Waterloo Place Edinburgh, EH1 3EG Tel 0131 528 5111 Fax 0131 528 5110 www.scottishlegalcomplaints.org.uk

Legal Post, 86, Edinburgh 2



The Rt Hon Lord Hamilton Lord President Parliament House Edinburgh EH1 1RQ

30 January 2012

Dear Lord Hamilton

SLCC Proposed Budget 2011/12

Please find enclosed for information a copy of the draft budget and covering letters I sent to the Professional Bodies and the Cabinet Secretary for Justice, for consultation today.

Please contact me if you have any questions about it.

Yours sincerely

Rosemary Agnew

CEO & Accountable Officer

Scottish Legal Complaints Commission

The Stamp Office 10 – 14 Waterloo Place Edinburgh, EH1 3EG Tel 0131 528 5111 Fax 0131 528 5110 www.scottishlegalcomplaints.org.uk Legal Post, 86, Edinburgh 2



Mr Cameron Ritchie President Law Society of Scotland 26 Drumsheugh Gardens Edinburgh EH3 7YR

30 January 2012

Dear Mr Ritchie

SLCC Draft Budget 2012/13

As you are aware, under Section 29 of the Legal Profession and Legal Aid (Scotland) Act 2007 the SLCC must, in January each year, consult each relevant professional organisation and its members on the SLCC's proposed budget for the next financial year. That consultation must include proposed expenditure and proposed amounts of the general and complaints levies.

Please find enclosed the SLCC's proposed budget and operational plan covering the financial year 1 July 2012 to 30 June 2013. This letter and these documents will be published on the SLCC's website on 31 January 2012.

The main points I draw to your attention are:

- Anticipated expenditure is: £2,813,381 (previous year £2,830,319)
- Anticipated income is: £2,818,567 of which £2,792,779 will be raised through the general levy
- Anticipated funds released from reserves are: £zero (previous year £1,000,000)
- General levy: £338 full levy with reductions for certain groups as in previous years (£338/£169/£113)
- Complaints levy: zero for service complaints resolved by mediation and at the investigation stage and up to a capped level of £5,000 for complaints upheld in full or part at determination.

The levels of expenditure and income are broadly comparable to last year. The general levy is higher because it is not being underwritten by release of reserves. However, it is in line with the baseline levy forecast last year. The most significant change is the approach to the complaints levy, more information on which is in the enclosed document.

Your comments and observations on the budget are welcomed. It would be very helpful if you could let me have your formal response by Thursday 8 March 2012 at the latest. This will enable the SLCC to consider and publish all the responses it receives by 31 March 2011, prior to laying the finalised budget before the Scottish Parliament by 30 April.



As in previous years, if you have any questions about the budget and the levy proposals, please send them to me. If you would prefer to meet to discuss the budget, let me know and we will arrange a suitable time and date.

If you have any specific questions for the Board of the SLCC, please send them to me and I will ensure they are put before Members. The Board is due to meet on 21 February 2012. If you have questions specifically for them it would be helpful if they could be with my by the 9 February which is the deadline for papers for that meeting.

Please do not hesitate to pick up the phone if there is something I may be able to help you with immediately.

I look forward to hearing from you.

Yours sincerely

Rosemary Agnew

CEO & Accountable Officer

Scottish Legal Complaints Commission

Enc. Draft Budget and Operational Plan

Cc. Lorna Jack, Phillip Yelland, Mary McGowan